

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FORM PTO-1390 (REV 10-2000)		ATTORNEY'S DOCKET NUMBER P/4074-4
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/009409</b>
INTERNATIONAL APPLICATION NO. <b>PCT/EP00/04888</b>	INTERNATIONAL FILING DATE <b>29 May 2000</b>	PRIORITY DATE CLAIMED <b>10 June 1999</b>
TITLE OF INVENTION <b>INTRAOCCULAR LENS</b>		
APPLICANT(S) FOR DO/EO/US <b>Wilhelm STORK and Christine F. KREINER</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).</p> <p>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
Items 11 to 16 below concern document(s) or information included:		
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p>		
<p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p>		
<p>16. <input checked="" type="checkbox"/> Other items or information:  <b>14 pages of appln.</b> <b>U.S. Patent and Trademark Office, P.O.</b>  <b>in German</b> <b>Box 2327, Arlington, VA 22202 on</b>  <b>1 Drawing Sheet</b> <b>December 10, 2001</b>  <b>(Figs. 1-2)</b>  <b>Inventors Designation Sheet</b>  <b>Print EFS Form</b>  <b>Intl. Search Report</b>  <b>Intl. Prelim. Exam. Report</b> </p>		
<p>EXPRESS MAIL CERTIFICATE</p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addresses (mail label <b>EL924390985US</b>) in an envelope addressed to:</p> <p><b>Dorothy Jenkins</b>  Name of Person Mailing Correspondence</p> <p><b>Dorothy Jenkins</b>  Signature</p> <p><b>December 10, 2001</b>  Date of Signature</p>		

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER																	
<b>10/009409</b>		<b>PCT/EP00/04888</b>	<b>P/4074-4</b>																
<p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p><b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b></p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1,040.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... 890.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... 740.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... 710.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00</p>		<b>CALCULATIONS PTO USE ONLY</b>																	
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		<b>\$ 890.00</b>																	
<p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 15%;">CLAIMS</th> <th style="width: 25%;">NUMBER FILED</th> <th style="width: 25%;">NUMBER EXTRA</th> <th style="width: 25%;">RATE</th> </tr> <tr> <td>Total claims</td> <td>16 - 20 =</td> <td>0</td> <td>X \$18.00</td> </tr> <tr> <td>Independent claims</td> <td>1 - 3 =</td> <td>0</td> <td>X 84.00</td> </tr> <tr> <td colspan="2" style="text-align: center;">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td style="text-align: center;">+ 280.00</td> <td style="text-align: center;">\$</td> </tr> </table>		CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	16 - 20 =	0	X \$18.00	Independent claims	1 - 3 =	0	X 84.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ 280.00	\$	<b>\$</b>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																
Total claims	16 - 20 =	0	X \$18.00																
Independent claims	1 - 3 =	0	X 84.00																
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ 280.00	\$																
<b>TOTAL OF ABOVE CALCULATIONS =</b>		<b>\$ 890.00</b>																	
<p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</p>		<b>\$ 445.00</b>																	
<b>SUBTOTAL =</b>		<b>\$ 445.00</b>																	
<p>Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</p>		<b>\$</b>																	
<b>TOTAL NATIONAL FEE =</b>		<b>\$ 445.00</b>																	
<p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</p>		<b>+ \$</b>																	
<b>TOTAL FEES ENCLOSED =</b>		<b>\$ 445.00</b>																	
		<b>Amount to be refunded:</b>	<b>\$</b>																
		<b>charged:</b>	<b>\$</b>																
<p>a. <input checked="" type="checkbox"/> A check in the amount of <u>\$ 445.00</u> to cover the above fees is enclosed. <b>Check No. 7670</b></p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>15-0700</u>. A duplicate copy of this sheet is enclosed.</p>																			
<p><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b></p> <p>SEND ALL CORRESPONDENCE TO:  <b>OSTROLENK, FABER, GERB &amp; SOFFEN, LLP</b>  <b>1180 Avenue of the Americas</b>  <b>New York, NY 10036-8403</b></p> <p>Tel: (212) 382 0700</p>																			
 <b>SIGNATURE:</b> <b>Edward A. Meilman</b> <b>NAME:</b> <b>24,735</b> <b>REGISTRATION NUMBER</b>																			

P/4074-4

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Wilhelm STORK et al

Date: March 27, 2002

Serial No.: 10/009,409

Group Art Unit: not yet known

Filed: December 10, 2001

Examiner: not yet known

Int'l. Appl. No.: PCT/EP00/04888

Int'l. Filing Date: May 29, 2000

For: INTRAOCULAR LENS

U.S. Patent & Trademark Office  
 P.O. Box 2327  
 Arlington, VA 22202

**PRELIMINARY AMENDMENT**

Prior to examination, please amend the application as follows.

**FEE CALCULATION**

Any additional fee required has been calculated as follows:

If checked, "Small Entity" status is claimed.

NO. CLAIMS AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR		EXTRA PRESENT	RATE	ADDIT. FEE
TOTAL	17	MINUS	20	* = 0 X (\$9 SE or \$18)	\$0
INDEP.	1	MINUS	3	** = 0 X (\$42 SE or \$84)	\$0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				X (\$140 SE or \$280)	\$0

\* not less than 20 \*\* not less than 3

TOTAL \$0

In the event the actual fee is greater than the payment submitted or is inadvertently not enclosed or if any additional fee during the prosecution of this application is not paid, the Patent Office is authorized to charge the underpayment to Deposit Account No. 15-0700.

### **CONTINGENT EXTENSION REQUEST**

If this communication is filed after the shortened statutory time period had elapsed and no separate Petition is enclosed, the Commissioner of Patents and Trademarks is petitioned, under 37 C.F.R. § 1.136(a), to extend the time for filing a response to the outstanding Office Action by the number of months which will avoid abandonment under 37 C.F.R. § 1.135. The fee under 37 C.F.R. § 1.17 should be charged to our Deposit Account No. 15-0700.

### **AMENDMENTS**

If checked, amendments to the specification and/or claims are submitted herewith.

1.  If checked, an abstract is submitted as the last page of Appendix A.

#### **2. Specification:**

Please delete the paragraph(s)/section(s) beginning at paragraph at page 2, line 1 to page 2, line 2; paragraph at page 2, line 19 to page 2, line 20; paragraphs at page 4, line 18 to page 5, line 12; paragraph at page 9, line 1 to page 9, line 9; and replace such paragraph(s)/section(s) pursuant to 37 C.F.R. § 1.121(b)(ii) with the "clean" version attached hereto as Appendix A.

Entry is respectfully requested. A version with markings to show the changes made pursuant to 37 C.F.R. § 1.121(b)(iii) is attached hereto as Appendix B.

#### **3. Claims:**

Please amend claims 1-16 and add new claim 17 pursuant to 37 C.F.R. § 1.121(c)(i) as set forth in the "clean" version attached hereto as Appendix A. Entry is respectfully requested. A version with markings to show the changes made pursuant to 37 C.F.R. § 1.121(c)(ii) is attached hereto as Appendix B.

## REMARKS/ARGUMENT

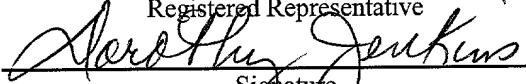
The original claims have been amended for U.S. practice. The original claims have not been narrowed by this Amendment, but rather have been restated in U.S. form.

Minor specification amendments are made.

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label #EL 924373077 US) in an envelope addressed to: Asst. Commissioner for Patents, Washington, D.C. 20231, on March 27, 2002:

Dorothy Jenkins

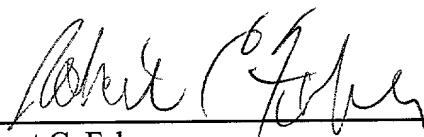
Name of applicant, assignee or  
Registered Representative



Signature  
March 27, 2002

Date of Signature

Respectfully submitted,



Robert C. Faber  
Registration No.: 24,322  
OSTROLENK, FABER, GERB & SOFFEN, LLP  
1180 Avenue of the Americas  
New York, New York 10036-8403  
Telephone: (212) 382-0700

RCF:dmk/sds/ahc

**APPENDIX A**  
**“CLEAN” VERSION OF EACH PARAGRAPH/SECTION/CLAIM**  
**37 C.F.R. § 1.121(b)(ii) AND (c)(i)**

**SPECIFICATION:**

**Paragraph at page 2, line 1 to page 2, line 2:**

**BACKGROUND OF THE INVENTION**

The invention relates to an intraocular lens having a central lens area and a surrounding annular lens area.

**Paragraph at page 2, line 19 to page 2, line 20:**

This object is achieved according to the invention by an intraocular lens with an optical lens part, which has a central lens area and at least one further annular lens area surrounding said central lens area, the central lens area and the at least one annular lens area forming at least one common focus and the annular lens area having concentric annular zones, in which the difference in pathlength between adjacent zones is an integral multiple of  $n=2$  or more of the design wavelength.

**Paragraphs at page 4, line 18 to page 5, line 12:**

**BRIEF DESCRIPTION OF THE DRAWINGS**

Figure 1 shows a sectional representation through one half of a lens body of an intraocular lens, and

Figure 2 shows a graphic representation to explain an additional diffractive fine structure, for the forming of a bifocal intraocular lens.

**DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS**

The optical lens part 1, represented in the figures, of an intraocular lens has a central, in

particular refractive, lens area 2 and a lens area 3 arranged in an annular form around the central lens area 2. The annular lens area 3 is located in an edge zone of the lens body. In the case of the exemplary embodiment represented, fine structure elements, in particular with a sawtooth shape, are arranged both on the front side and on the rear side of the lens body in concentric zones around the optical axis 6 of the lens part 1. It is also possible, however, to provide the sawtooth-like zones only on one side of the lens (front side or rear side).

**Paragraph at page 9, line 1 to page 9, line 9:**

**CLAIMS (with indication of amended or new):**

**Amended** 1. An intraocular lens comprising  
an optical lens part which has a central lens area and at least one further annular lens area surrounding the central lens area, wherein the central lens area and the at least one annular lens area form at least one common focus; the annular lens area having concentric annular zones each with a respective optical path of a respective path length, wherein the difference in path length of the optical path between adjacent concentric zones is an integral multiple of  $n = 2$  or more of a design wavelength.

**Amended** 2. The intraocular lens as claimed in claim 1, wherein the difference in path length is set by at least one of a selected refractive index of a material or a geometry of the respective zone.

**Amended** 3. The intraocular lens as claimed in claim 1, wherein the annular zones are formed in a sawtooth-like manner.

**Amended** 4. The intraocular lens as claimed in claim 1, wherein the lens has a lens body with opposite front and rear sides and the annular zones are provided on at least one of the front and rear sides of the lens body.

**Amended** 5. The intraocular lens as claimed in claim 1, wherein a refractive component is formed in the central lens area.

**Amended** 6. The intraocular lens as claimed in claim 1, wherein the optical lens part has a further meridian section an aspherical curvature profile.

**Amended** 7. The intraocular lens as claimed in claim 6, wherein the annular area with the concentric zones has the different path lengths arranged in the lens part in which the aspherical curvature profile has an effect.

**Amended** 8. The intraocular lens as claimed in claim 1, wherein the annular lens area has a width of approximately 0.8 mm to 0.9 mm.

**New** 9. The intraocular lens as claimed in claim 1, wherein the central lens area has a diameter of approximately 4 mm.

**Amended** 10. The intraocular lens as claimed in claim 1, wherein the lens has an outer lens edge with an approximately semicircular cross section.

**Amended** 11. The intraocular lens as claimed in claim 1, wherein the central lens area has a smooth surface.

**Amended** 12. The intraocular lens as claimed in claim 1, wherein the lens is a bifocal lens having additional diffractive zones on the optical lens part.

**Amended** 13. The intraocular lens as claimed in claim 12, wherein the additional diffractive zones are provided on the central lens area, forming the refractive component.

**Amended** 14. The intraocular lens as claimed in claim 12, wherein the additional

diffractive zones are shaped so that the difference in path length between the adjacent diffractive zones is a fraction of a design wavelength.

**Amended** 15. The intraocular lens as claimed in claim 14, wherein the difference in path length between the adjacent diffractive zones is 0.4 or 0.6 of the design wavelength.

**Amended** 16. The intraocular lens as claimed in claim 15, wherein the design wavelength lies in the green spectral range of visible light.

**New.** 17. The intraocular lens as claimed in claim 1, wherein the annular lens area has a width of approximately 0.8 mm to 0.9 mm, in particular 0.835 mm.

#### **ABSTRACT:**

An intraocular lens with an optical lens part, which has a central lens area and at least one further annular lens area surrounding the central lens area, the central lens area and the at least one annular lens area forming at least one common focus and the annular lens area having concentric annular zones, in which the difference in pathlength between adjacent zones is an integral multiple of  $n=2$  or more of the design wavelength.

**APPENDIX B**  
**VERSION WITH MARKINGS TO SHOW CHANGES MADE**  
**37 C.F.R. § 1.121(b)(iii) AND (c)(ii)**

**SPECIFICATION:**

**Paragraph at page 2, line 1 to page 2, line 2:**

**BACKGROUND OF THE INVENTION**

The invention relates to an intraocular lens having a central lens area and a surrounding annular lens area [according to the preamble of patent claim 1].

**Paragraph at page 2, line 19 to page 2, line 20:**

This object is achieved according to the invention by an intraocular lens with an optical lens part, which has a central lens area and at least one further annular lens area surrounding said central lens area, the central lens area and the at least one annular lens area forming at least one common focus and the annular lens area having concentric annular zones, in which the difference in pathlength between adjacent zones is an integral multiple of  $n=2$  or more of the design wavelength [the defining features of patent claim 1].

**Paragraphs at page 4, line 18 to page 5, line 12:**

**BRIEF DESCRIPTION OF THE DRAWINGS**

Figure 1 [figure 1] shows a sectional representation through one half of a lens body of an intraocular lens, and

Figure 2 [figure 2] shows a graphic representation to explain an additional diffractive fine structure, for the forming of a bifocal intraocular lens.

**DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS**

The optical lens part 1, represented in the figures, of an intraocular lens has a central, in

particular refractive, lens area 2 and a lens area 3 arranged in an annular form around the central lens area 2. The annular lens area 3 is located in an edge zone of the lens body. In the case of the exemplary embodiment represented, fine structure elements, in particular with a sawtooth shape, are arranged both on the front side and on the rear side of the lens body in concentric zones around the optical axis 6 of the lens part 1. It is also possible, however, to provide the sawtooth-like zones only on one side of the lens (front side or rear side).

**Paragraph at page 9, line 1 to page 9, line 9:**

[1           optical lens part  
2           central lens area  
3           annular lens area  
4           peripheral edge  
5           straight piece  
6           optical axis  
7           additional diffractive fine structure elements  
8           refractive base curve]

**CLAIMS:**

**Amended**   1.       An intraocular lens comprising [with]  
                  an optical lens part [,] which has a central lens area and at least one further  
                  annular lens area surrounding the [said] central lens area, [characterized in that] wherein the  
                  central lens area [(2)] and the at least one annular lens area [(3)] form at least one common focus;  
                  [, and in that] the annular lens area [(3)] having [has] concentric annular zones each with a  
                  respective optical path of a respective path length, wherein [, in which] the difference in path  
                  length of the optical path between adjacent concentric zones is an integral multiple of  $n = 2$  or  
                  more of [the] a design wavelength.

**Amended**   2.       The intraocular lens as claimed in claim 1, wherein [characterized in that]

the difference in path length is set by at least one of a selected [the] refractive index of a [or the] material or a [and/or the] geometry of the respective zone.

**Amended** 3. The intraocular lens as claimed in claim 1, wherein [or 2, characterized in that] the annular zones are formed in a sawtooth-like manner.

**Amended** 4. The intraocular lens as claimed in claim 1, wherein the lens has a lens body with opposite front and rear sides and the annular zones are provided on at least one of the front and [one of claim 1 to 3, characterized in that the annular zones are provided on the front side and/or] rear sides [side] of the lens body [(1)].

**Amended** 5. The intraocular lens as claimed in claim 1 wherein [one of claims 1 to 4, characterized in that] a refractive component [(2)] is formed in the central lens area [(2)].

**Amended** 6. The intraocular lens as claimed in claim 1, wherein [one of claims 1 to 5, characterized in that] the optical lens part has a further meridian section an aspherical curvature profile.

**Amended** 7. The intraocular lens as claimed in claim 6, wherein [one of claims 1 to 6, characterized in that] the annular area [(3)] with the concentric zones has [having] the different path lengths arranged in the lens part in which the aspherical curvature profile has an effect.

**Amended** 8. The intraocular lens as claimed in claim 1, wherein [one of claims 1 to 7, characterized in that] the annular lens area [(3)] has a width of approximately 0.8 mm to 0.9 mm[, in particular 0.835 mm].

**Amended** 9. The intraocular lens as claimed in claim 1, wherein [one of claims 1 to 7, characterized in that] the central lens area [(2)] has a diameter of approximately 4 mm.

**Amended** 10. The intraocular lens as claimed in claim 1, wherein [one of claims 1 to 7, characterized in that] the lens has an outer lens edge with [(4) has] an approximately semicircular cross section.

**Amended** 11. The intraocular lens as claimed in claim 1, wherein [one of claims 1 to 7, characterized in that] the central lens area [(2)] has a smooth surface.

**Amended** 12. The intraocular lens as claimed in claim 1, wherein the lens is [one of claims 1 to 7, characterized in that, for forming] a bifocal lens[,] having additional diffractive zones [(7) are provided] on the optical lens part.

**Amended** 13. The intraocular lens as claimed in claim 12, wherein [characterized in that] the additional diffractive zones [(7)] are provided on the central [central] lens area, forming the refractive component [(2)].

**Amended** 14. The intraocular lens as claimed in claim 12, wherein the additional diffractive zones are shaped so [one of claims 1 to 13, characterized in] that the difference in path length between the adjacent diffractive zones [(7)] is a fraction of [the] a design wavelength.

**Amended** 15. The intraocular lens as claimed in claim 14, wherein [one of claims 1 to 14, characterized in that] the difference in path length between the adjacent diffractive zones [(7)] is 0.4 or 0.6 of the design wavelength.

**Amended** 16. The intraocular lens as claimed in claim 15, wherein [one of claims 1 to 15, characterized in that] the design wavelength lies in the green spectral range of visible light.

**New.** 17. The intraocular lens as claimed in claim 1, wherein the annular lens area has a width of approximately 0.8 mm to 0.9 mm, in particular 0.835 mm.

**ABSTRACT:**

An intraocular lens with an optical lens part, which has a central lens area [2] and at least one further annular lens area [3] surrounding [said] the central lens area, the central lens area [2] and the at least one annular lens area [3] forming at least one common focus and the annular lens area [3] having concentric annular zones, in which the difference in pathlength between adjacent zones is an integral multiple of  $n=2$  or more of the design wavelength.

[(Figure 1)]

PROJECT Rec'd 37 MAR 2002

[Patent application]

[Title of the invention)

Intraocular lens

**[Description]**

The invention relates to an intraocular lens according to the preamble of patent claim 1.

**[Prior Art]**

An intraocular lens of this type is known from EP 0 537 643 B1. This lens may be designed as a monofocal lens, and consequently be made relatively thin, by the optical power being made up of a refractive component and a diffractive component. The cut to be made to the eye during the implantation can be kept small. Scatterings of light resulting from the diffractive fine structure component may influence the quality of the image produced on the retina.

**[Object of the invention]**

The object of the invention is to provide an intraocular lens of the type stated at the beginning in which an image with improved quality is produced on the retina with a low lens thickness.

**[Examples]**

This object is achieved according to the invention by the defining features of patent claim 1.

In the case of the intraocular lens according to the invention, arranged around a central lens area, which has

refractive properties in particular, is at least one annular lens area, which forms a common focus with the central lens area, concentric annular zones arranged around the optical lens axis being provided in the annular lens area, the difference in the path length or difference in optical path between adjacent zones being an integral multiple of the design wavelength.

In a preferred way, the design wavelength is provided in the green spectral range of visible light, in the range of 550 nm for example.

The difference in path length of the adjacent zones may be set by the refractive index or by appropriate material selection and/or the geometry of the respective zone.

In a preferred way, the curvature of the meridional section of the optical lens part is aspherically formed, the zones with the differences in path length (differences in optical path) being provided in the edge region, in which the deviation of the aspherical profile from the spherical curve has an effect.

These annular zones, which are arranged concentrically around the optical lens axis, are formed in particular in a sawtooth shape. For forming a monofocal intraocular lens, these zones have the same optical power as the central, in particular refractive, lens area. Both parts

contribute to a sharp image, which is produced on the retina of the eye.

For forming a bifocal lens, the optical lens part may be provided with an additional diffractive fine structure, which may extend over the entire optical lens part or, in a preferred way, is provided only on the central lens area, forming the refractive component. This is generally sufficient, since the bifocal function is required only when there is brightness corresponding to daylight and the pupillary aperture of the eye is essentially open only in the region of the central lens area, containing the refractive component. The additional diffractive fine structure, in particular in the form of concentric zones arranged around the optical lens axis, may be formed in such a way that adjacent zones produce a difference in path length of the optical path which is a fraction of the design wavelength, for example 0.4 or 0.6.

The invention is explained in more detail on the basis of exemplary embodiments with reference to the figures, in which:

figure 1 shows a sectional representation through one half of a lens body of an intraocular lens, and

figure 2 shows a graphic representation to explain an additional diffractive fine structure, for the forming of a bifocal intraocular lens.

The optical lens part 1, represented in the figures, of an intraocular lens has a central, in particular refractive, lens area 2 and a lens area 3 arranged in an annular form around the central lens area 2. The annular lens area 3 is located in an edge zone of the lens body. In the case of the exemplary embodiment represented, fine structure elements, in particular with a sawtooth shape, are arranged both on the front side and on the rear side of the lens body in concentric zones around the optical axis 6 of the lens part 1. It is also possible, however, to provide the sawtooth-like zones only on one side of the lens (front side or rear side).

Adjacent zones have a difference in path length of the respective optical path which corresponds to an integral multiple of two or more of the design wavelength. By different selection of the material in the respective adjacent annular zones and the associated different refractive indices and/or the geometry, in particular the sawtooth shape, this difference in path length of the respective optical paths can be achieved.

An outer peripheral edge 4 of the lens body has an approximately semicircular cross section, with a radius of 0.165 mm. The semicircular edge begins at a radial distance of approximately 2.835 mm from the optical axis 6. A planar straight piece 5 may be provided between the edge 4 and the

annular lens area 3 with the sawtooth-like zones. This is the case in particular whenever the outermost sawtooth zone can no longer be provided completely before the semicircular lens edge 4. The diameter of the lens is approximately 6 mm. In a preferred way, at least three annular sawtooth zones are provided in the annular lens area 3 in the vicinity of the lens edge 4.

The various curve portions are described by various functions in the respective portions.

The optical lens part is described by the following function:

$$z_{\text{asph}}(r) = R - \sqrt{R^2 - r^2} + a_4 \cdot r^4 + a_6 \cdot r^6 + a_8 \cdot r^8 + a_{10} \cdot r^{10} + \dots \text{ if } r < r_{\text{rfres\_begin}}$$

The annular lens area 3 is described by the floor function:

$$z_{\text{fres}}(r) = z_{\text{asph}}(r) - \text{floor} \left[ \frac{z_{\text{asph}}(r) - z_{\text{asph}}(r_{\text{fres\_begin}})}{\text{tooth depth}} + 1 \right] \cdot \text{tooth depth}$$

if  $r_{\text{rdres\_begin}} < r < r_{\text{fres\_end}}$

The straight piece 5 is described by the straight line:

$$z(r) = z_{\text{asph}}(r_{\text{fres\_begin}}) \quad \text{if } r_{\text{fres\_end}} < r < r_{\text{circle\_begin}}$$

The edge region is described by a circle function with the radius  $R = 0.165$  mm:

$$Z_{\text{circle}} = Z_{\text{mpoint}} \sqrt{R^2 - (r - \chi_{\text{point}})^2} \quad \text{if } r_{\text{pmax}} < r < r_{\text{max}}$$

with  $z_{\text{mpoint}}$  = z coordinate of the midpoint of the edge circle,

$\chi_{\text{point}}$  = r coordinate of the midpoint of the edge circle.  $r_{\text{max}}$  is the maximum distance from the axis or the half diameter. With the exception of  $r_{\text{rfres\_begin}}$ , the r coordinates of the edge circle are equal in the case of all mold inserts.

In a preferred way, the zones with the differences in path length of the lens area 3 are located in the region of the deviation of the asphere from the spherical curve. The refractive component is formed by the central lens area 2, which in a preferred way has the spherical lens shape.

For forming a monofocal lens, the central lens area 2 and the annular lens area 3 are shaped in such a way that they have exactly the same focus and a common image is produced in all the zones of the optical lens part 1. The differences in the optical path length of the optical paths in adjacent zones are in this case adapted exactly to an integral multiple of an average wavelength of the visible spectrum, in particular to approximately 550 nm (design wavelength). The lens therefore produces a perfect image into the edge region. The depth of the concentric sawtooth zones is reduced here from zone to zone by 0.3  $\mu\text{m}$ .

For forming a bifocal lens, an additional diffractive fine structure is provided on the optical lens part 1. This fine structure is preferably formed as a diffractive Fresnel pattern and has the form of annular fine structure elements 7 in sawtooth shape (figure 2). Figure 2 shows the essentially spherical profile of the section curve of the central lens area, forming the refractive component 2, on one side. Starting from a refractive base curve 8, with an essentially spherical section curve profile, the diffractive annular sawtooth zones have tooth depths from 1.5  $\mu\text{m}$  to 2.8  $\mu\text{m}$ . The difference in path length between adjacent zones may be a fraction, for example 0.4 or 0.6, of the design wavelength. The additional diffractive fine structure pattern is preferably provided in the central lens area, forming the refractive component. It may, however, also extend over the annular lens area 3 and overlap the zones located in this area. As figure 2 shows, the additional diffractive fine structure elements 7, starting from the refractive base curve 8, are formed into the surface of the lens body, in particular in the central area.

**[List of designations]**

- 1 optical lens part
- 2 central lens area
- 3 annular lens area
- 4 peripheral edge
- 5 straight piece
- 6 optical axis
- 7 additional diffractive fine structure elements
- 8 refractive base curve

## [Patent claims]

1. An intraocular lens with an optical lens part, which has a central lens area and at least one further annular lens area surrounding said central lens area, characterized in that the central lens area (2) and the at least one annular lens area (3) form at least one common focus, and in that the annular lens area (3) has concentric annular zones, in which the difference in path length of the optical path between adjacent zones is an integral multiple of  $n = 2$  or more of the design wavelength.

2. The intraocular lens as claimed in claim 1, characterized in that the difference in path length is set by the refractive index or the material and/or the geometry of the respective zone.

3. The intraocular lens as claimed in claim 1 or 2, characterized in that the annular zones are formed in a sawtooth-like manner.

4. The intraocular lens as claimed in one of claims 1 to 3, characterized in that the annular zones are provided on the front side and/or rear side of the lens body (1).

5. The intraocular lens as claimed in one of claims 1 to 4, characterized in that a refractive component (2) is formed in the central lens area (2).

6. The intraocular lens as claimed in one of claims 1 to 5, characterized in that the optical lens part has an aspherical curvature profile in the meridian section.

7. The intraocular lens as claimed in one of claims 1 to 6, characterized in that the annular area (3) with the concentric zones having the different path lengths is arranged in the lens part in which the aspherical curvature profile has an effect.

8. The intraocular lens as claimed in one of claims 1 to 7, characterized in that the annular lens area (3) has a width of approximately 0.8 mm to 0.9 mm, in particular 0.835 mm.

9. The intraocular lens as claimed in one of claims 1 to 8, characterized in that the central lens area (2) has a diameter of approximately 4 mm.

10. The intraocular lens as claimed in one of claims 1 to 9, characterized in that the outer lens edge (4) has an approximately semicircular cross section.

11. The intraocular lens as claimed in one of claims 1 to 10, characterized in that the central lens area (2) has a smooth surface.

12. The intraocular lens as claimed in one of claims 1 to 10, characterized in that, for forming a bifocal lens, additional diffractive zones (7) are provided on the optical lens part.

13. The intraocular lens as claimed in claim 12, characterized in that the additional diffractive zones (7) are provided on the central central lens area, forming the refractive component (2).

14. The intraocular lens as claimed in one of claims 1 to 13, characterized in that the difference in path length between the adjacent diffractive zones (7) is a fraction of the design wavelength.

15. The intraocular lens as claimed in one of claims 1 to 14, characterized in that the difference in path length between the adjacent diffractive zones (7) is 0.4 or 0.6 of the design wavelength.

16. The intraocular lens as claimed in one of claims 1 to 15, characterized in that the design wavelength lies in the green spectral range of visible light.

**[Abstract]**

An intraocular lens with an optical lens part, which has a central lens area 2 and at least one further annular lens area 3 surrounding said central lens area, the central lens area 2 and the at least one annular lens area 3 forming at least one common focus and the annular lens area 3 having concentric annular zones, in which the difference in pathlength between adjacent zones is an integral multiple of  $n = 2$  or more of the design wavelength.

(Figure 1)

10/009409

Fig. 1

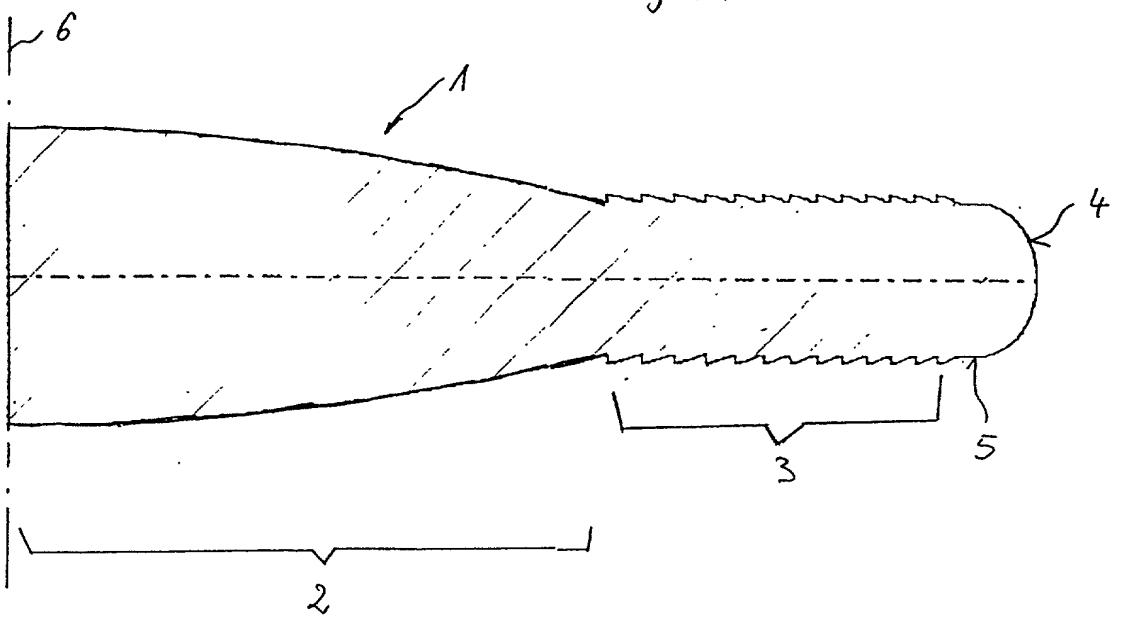
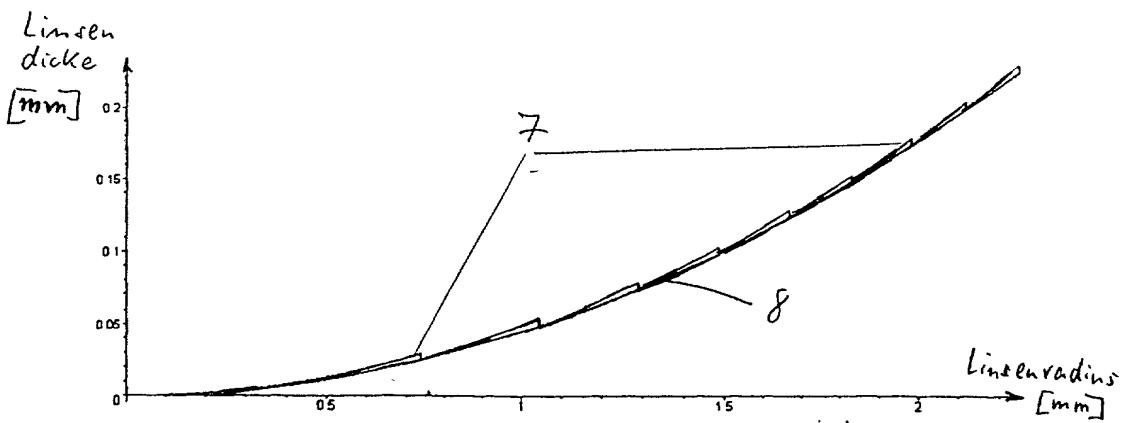


Fig. 2



UNITED STATES OF AMERICA  
COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

OFGS FILE NO.  
P/4074-4

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that I verify I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**INTRAOCCULAR LENS**

the specification of which is attached hereto, unless the following box is checked:

was filed on December 10, 2001 as United States patent Application Number or PCT International patent application number 10/009,409 and was amended on \_\_\_\_\_ (if any).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate or United States provisional application(s) listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign or Provisional Application(s)

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
Germany	199 26 512.7	10 June 1999	YES <input checked="" type="checkbox"/> NO _____
			YES _____ NO _____
			YES _____ NO _____

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

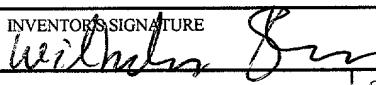
UNITED STATES APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)

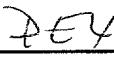
I hereby appoint customer no. 2352 OSTROLENK, FABER, GERB & SOFFEN, LLP, and the members of the firm, Samuel H. Weiner - Reg. No. 18,510; Jerome M. Berliner - Reg. No. 18,653; Robert C. Faber - Reg. No. 24,322; Edward A. Meilman - Reg. No. 24,735; Steven I. Weisburd - Reg. No. 27,409; Max Moskowitz - Reg. No. 30,576; Stephen A. Soffen - Reg. No. 31,063; James A. Finder - Reg. No. 30,173; William O. Gray, III - Reg. No. 30,944; Louis C. Dujmich - Reg. No. 30,625; Douglas A. Miro - Reg. No. 31,643, and Michael J. Scheer - Reg. No. 34,425, as attorneys with full power of substitution and revocation to prosecute this application, to transact all business in the Patent & Trademark Office connected therewith and to receive all correspondence.

SEND CORRESPONDENCE TO: **OSTROLENK, FABER, GERB & SOFFEN, LLP**  
1180 AVENUE OF THE AMERICAS  
NEW YORK, NEW YORK 10036-8403  
CUSTOMER NO. 2352

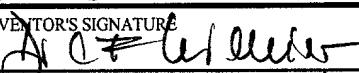
DIRECT TELEPHONE CALLS TO:  
(212) 382-0700

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF SOLE OR FIRST INVENTOR <u>Wilhelm Stork</u>	INVENTOR'S SIGNATURE 	DATE <u>16 Jan 2002</u>
---	--	----------------------------

RESIDENCE (City and either State or Foreign Country) <u>D-76831 Impflingen, Germany</u>	INVENTOR'S SIGNATURE 	COUNTRY OF CITIZENSHIP <u>Germany</u>
--	---	--

POST OFFICE ADDRESS  
Im Kirschgarten 5, D-76831 Impflingen, Germany

FULL NAME OF SECOND JOINT INVENTOR (IF ANY) <u>Christine F. Kreiner</u>	INVENTOR'S SIGNATURE 	DATE <u>11th Dec. 2002</u>
--	--	-------------------------------

RESIDENCE (City and either State or Foreign Country) <u>D-81545 München, Germany</u>	INVENTOR'S SIGNATURE 	COUNTRY OF CITIZENSHIP <u>Germany</u>
---	---	--

POST OFFICE ADDRESS  
Harthauser Strasse 30a, D-81545 München, Germany

CONTINUED ON PAGE 2